

Docket No. 283351US0PCT



MAIL STOP PCT

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Stefan BECKER, et al.

SERIAL NO: 10/563,430

GAU:

FILED: January 5, 2006

EXAMINER:

FOR: POLYMERS THAT ARE SOLUBLE IN WATER OR CAN BE DISPERSED IN WATER AND CONTAIN ALKOXYLATED DIALLYLAMINE DERIVATIVES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon

Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

U.S. PCT Application Serial No: 10/563,430

Filed: January 5, 2006

Stefan BECKER, et al.

Docket No. 283351 US

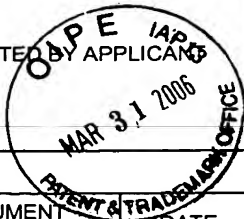


STATEMENT OF RELEVANCY

- 1) References AA - AC have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AD & AO - AW are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
283351US0PCTSERIAL NO.
10/563,430

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
Stefan BECKER, et al.FILING DATE
January 5, 2006

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4 706 755	11/17/87	ROARK et al.			
	AB	6 242 101	06/05/01	ENENKEL Peter et al.			
	AC	5 849 853	12/15/98	SCHADE			
	AD	3 585 148	06/15/71	SACKIS, John J.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	29 16 698	11/08/79	DE (equivalent of US4 304 894)		NO
	AP	0 111 965	06/27/84	EP		NO
	AQ	0 112 592	07/04/84	EP		NO
	AR	0 736 553	10/09/96	EP (equivalent of US5 798 425)		NO
	AS	196 53 524	06/25/98	DE (equivalent of US6 384 111 & US 2002/0132946)		NO
	AT	0 850 994	07/01/98	EP		NO
	AU	0 850 895	07/01/98	EP		NO
	AV	1 118 598	07/25/01	EP		NO
	AW	01/21542	03/29/01	WO (with English abstract)		NO

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.